## CENTRAL FAX CENTER

360 212 3060

MAY 1 2 2005

#### PATENT APPLICATION

ATTORNEY DOCKET NO. 200208804-1

#### **HEWLETT-PACKARD COMPANY** Intellectual Property Administration P. O. Box 272400 Fort Collins, Colorado 80527-2400

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Randy Hoffman

Confirmation No.: 9724

Application No.: 10/677940

Examiner: Not assigned

Filing Date:

Oct 02, 2003

Group Art Unit: 2879

Title:

Inorganic Electronluminescent Device With Controlled Hole And Electron Injection

Mail Stop Amendment **Commissioner for Patents** PO Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b). or (Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:
  - (X) Statement under 37 CFR 1.97(e), or
  - ( ) a \$180.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:
  - ( ) Statement under 37 CFR 1.97(e)(1) or (2), and

  - ( ) a \$180.00 fee set forth in 37 CFR 1.17(p).
    (Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

- Applicant(s) submit herewith Form PTO 1449 Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.
- ( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

( ) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit:

(X) I hereby cartify that this paper is being transmitted to the Patent and Trademark Office

facsimile number (703) 872-9306 OR

Number of pages:

Typed Name: Bridgett Parrett

Signature

Respectfully submitted,

Rapdy Hoffman

Attorney/Agent for Applicant(s)

Reg. No. 40,231

Date: May 12, 2005

Telephone No.: 360 212 8052

# CENTRAL FAX CENTER

PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

P. O. Box 272400

Randy Hoffman

Confirmation No.: 9724

Application No.: 10/677940

**HEWLETT-PACKARD COMPANY** 

Intellectual Property Administration

Fort Collins, Colorado 80527-2400

Examiner: Not assigned

Filing Date:

Oct 02, 2003

Group Art Unit: 2879

Title:

Inorganic Electronluminescent Device With Controlled Hole And Electron Injection

Mall Stop Amendment **Commissioner for Patents** PO Box 1450 Alexandria, VA 22313-1450

### STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
  - -- was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - -- to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Randy Hoffman

Attorney/Agent for Applicant(s)

Reg. No. 40,231

Date: May 12, 2005

Telephone No.: (360) 212-8052

Sheet 11

PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Peperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Coi	Complete if Known				
	Application Number	10/677,940				
	Filing Date	Oct. 2, 2003				
	First Named Inventor	Randy Hoffman				
	Art Unit	2879				
	Examiner Name	Not assigned				
	Attorney Docket Number	200208804-1				

U. S. PATENT DOCUMENTS  Examiner   Cite   Document Number   Publication Date   Name of Patentee or Pages, Columns, Lines							
ocerniner Cite nitials* No.*	DOCUMENT NUMBER	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant			
		1 1	1 1	Number-Kind Code <sup>2 pl Answert</sup>			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 5,648,181	07-15-1997	Watanabe			
		US-					
		US-					
		us-					
	· · · · · ·	US-					
		US-					
	<u> </u>	US-					
	<u> </u>	US-					
	<del>                                     </del>	US-					
		US-					
		us-					
	<del>                                     </del>	US-					
	<b> </b>	US-	<del></del>				
	<u> </u>	US-					
		US-					
	<b>†</b>	US-					
	<del>                                     </del>	US-	_				
	<del> </del>	US-		1			
	<del> </del>	US-	1				

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> "Number <sup>4</sup> " (Gind Code <sup>8</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T	
	<u> </u>					L	
						L	
						╙	
						L	
					1		

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 'Senter Office that issued the document, by the two-letter code (MPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. 'Applicant is to place a check mark here if English language. Translation is attached.

Trainstation is avacation. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Imformation Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

		U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no	persons are required to respond to a collection of information unless it	contains a valid OMB control number

Substitute for form 1449/PTO			Q 01 1000, 10 parson o	Complete if Known		
0304213				Application Number	10/677,940	
INF	ORMATION	DIS	CLOSURE	Filing Date	Oct. 2, 2003	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Randy Hoffman	
	##			Art Unit	2879	
	(Use as many shi	9915 85 F	ecessary)	Examiner Name	Not assigned	
Sheet	2	of	2	Attorney Docket Number	200208804-1	

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		English translation of Taiwan Office Action dated Feb. 17, 2005.	
		·	

Examiner	Date	
Signature	Considered	

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of Information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.